INSTRUCTIONS FOR A CHANGE IN CORPORATE STRUCTURE

1. FINGERPRINTING OF ALL OFFICERS WHO WILL HOLD MORE THEN 1% OF STOCK IN THE CORPORATION

2. 11 PAGE APPLICATION (DEPENDING ON THE PERCENTAGE OF STOCK BEING TRANSFERRED DEPENDS ON WHETHER OR NOT A FULL 11 PAGE APPLICATION MUST BE FILLED OUT)

3. THERE IS NO FEE FOR A CHANGE IN CORPORATE STRUCTURE

4. AFFIDAVIT OF PUBLICATION (SAMPLE ATTACHED) THIS MUST BE ADVERTISED IN THE NEWSPAPER ONE TIME.

5. CONSENT OF TRANSFER LETTER FROM THE SELLERS OF THE CORPORATION STATING THAT THEY NO LONGER HAVE ANY INTEREST IN THE CORPORATION
STATE OF NEW JERSEY
DEPARTMENT OF LAW AND PUBLIC SAFETY
DIVISION OF ALCOHOLIC BEVERAGE CONTROL
RETAIL LIQUOR LICENSE APPLICATION

STATE ASSIGNED LICENSE NUMBER

[For DIVISION use only ____ ]

CODE TYPE OF LICENSE (CHECK ONE)

CLASS C LICENSES [R.S. 33:1-12]

31 ___ Club
32 ___ Plenary Retail Consumption
     w/Broad Package Privilege
33 ___ Plenary Retail Consumption
36 ___ Plenary Retail Consumption
     (Hotel/Motel Exception)
37 ___ Plenary Retail Consumption
     (Theatre Exception)
35 ___ Seasonal Retail Consumption
     (November 15 through April 30)
34 ___ Seasonal Retail Consumption
     (May 1 through Nov. 14)
44 ___ Plenary Retail Distribution
43 ___ Limited Retail Distribution

OTHER
14 ___ Annual State Permit
     (R.S. 33:1-42, NJAC 13:2-52)

Municipal Fee $___________

Effective Date ____ / ____ / ____
(As Stated in Resolution. Date of resolution unless otherwise established.)

State Fee $___________

Date Denied ____ / ____ / ____
(As Stated in Resolution)

Refund Amount $___________

Special Conditions Attached: _____ Yes _____ No

Type or Print Name (Last name, first, middle initial) of Municipal Clerk or ABC Secretary

Signature of Municipal Clerk or ABC Secretary
2.1 NAME(S) AS IT DOES OR WILL APPEAR ON THE LICENSE CERTIFICATE (NOT "TRADE" NAME): License may be held by individual (last name, first, middle initial), Partnership or Corporation.

(Last Name, First, Middle Initial or Corporate Name)

2.2 ACTUAL ADDRESS WHERE THE LICENSE IS TO BE USED (SITED PREMISES):

Street Address

Municipality

Telephone number of business

2.3 If no licensed premises exists or if mailing address is different than the "actual address" given above, provide the mail address. (Insert N/A if not applicable).

Street Address

P.O. Box #

Municipality

Zip

Telephone

2.4 New Jersey Sales Tax Certificate of Authority No.

2.5 TRADE NAME(S) UNDER WHICH BUSINESS IS TO BE CONDUCTED. ALL TRADE NAMES MUST BE LISTED AND REGISTERED WITH THE N.J. SECRETARY OF STATE [If a corporation] OR COUNTY CLERK [If a partnership or sole proprietor]:

2.6 THE FOLLOWING QUESTIONS ARE TO BE ANSWERED BY ALL APPLICANTS OTHER THAN APPLICANTS FOR A NEW LICENSE:

A. IS THE LICENSE ACTIVELY USED AT AN OPERATING PLACE OF BUSINESS?
   Yes ___ No ___

B. IF NO, GIVE THE DATE THE BUSINESS STOPPED OPERATING (OR THE DATE THE LICENSE WAS ORIGINALLY ISSUED IF NEVER SITED AT AN OPERATING BUSINESS):
   _____ / _____ / _____

C. IF THE LICENSE IS INACTIVE AND THE APPLICATION IS FOR A TRANSFER, WILL THE LICENSE BE USED AT AN OPERATING PLACE OF BUSINESS AFTER APPROVAL?
   Yes ___ No ___

2.7 THE FOLLOWING QUESTIONS ARE TO BE ANSWERED BY AN APPLICANT FOR A NEW LICENSE:

A. WILL THE LICENSE BE USED AT AN OPERATING PLACE OF BUSINESS IMMEDIATELY UPON ISSUANCE?
   Yes ___ No ___

B. IF NO, PROVIDE ANTICIPATED DATE OF LICENSE ACTIVATION:
   _____ / _____ / _____
The following questions identify information about the licensed premises. This describes the area or place which is to be licensed for the sale, service, consumption, delivery, receipt, or storage of alcoholic beverages. If the license is inactive and NOT SITED AT A PLACE OF BUSINESS, answer question 3.1 only, entering N/A for “not applicable.” [If you use N/A as a response to questions 3.1, question 2.2 on Page 2 should also be answered N/A].

3.1 HOW MANY SEPARATE BUILDINGS ARE TO BE INCLUDED UNDER THIS LICENSE? _______

If more than one building is to be included under this license, a separate page number three is to be submitted covering each building.

An up-to-date sketch of the entire licensed premises should be submitted for inclusion in the State ABC license file.

3.2 BUILDING NO. _______ OF _______ TO BE LICENSED.

3.3 IS THE ENTIRE BUILDING TO BE LICENSED? _______ Yes _______ No

If the answer to question 3.3 is “No”, specify which floors are to be under license and which ones are not by answering the following questions:

3.4 Basement
   Yes ______ No

1st floor
   Yes ______ No

2nd floor
   Yes ______ No

3rd floor
   Yes ______ No

Specify each additional floor number to be included under this license: _______

If only part of any floor is to be licensed, attach a more detailed explanation with sketches to clearly delineate licensed from unlicensed areas.

3.5 ARE ANY GROUNDS ADJACENT TO THE BUILDING UNDER LICENSE TO BE INCLUDED AS PART OF THE LICENSED PREMISES? _______ Yes _______ No

3.6 IS THERE ANY UNLICENSED AREA LOCATED BETWEEN BUILDINGS UNDER THIS LICENSE OR BETWEEN LICENSED ADJACENT GROUNDS? _______ Yes _______ No

IF ANSWER IS “YES” ATTACH A SKETCH OF THE LICENSED AND UNLICENSED AREAS SHOWING DIMENSIONS IN FEET.

3.7 DOES THE APPLICANT OWN THE BUILDING? _______ Yes _______ No

IF “YES”, IS THERE A MORTGAGE ON THE BUILDING? _______ Yes _______ No

DOES THE APPLICANT LEASE THE BUILDING? _______ Yes _______ No

If there is a mortgage on the property, answer question 3.8. If the licensed premise is leased, answer question 3.9.

3.8 MORTGAGEE (HOLDER OF MORTGAGE):

(Last Name, First Name, Middle Initial or Corporate Name)

Street Address
   Number _______ Street Name

P.O. Box # _______ Municipality _______ State _______

Zip _______

3.9 LANDLORD (HOLDER OF LEASE):

(Last Name, First Name, Middle Initial or Corporate Name)

Street Address
   Number _______ Street Name

P.O. Box # _______ Municipality _______ State _______

Zip _______
STATE ASSIGNED LICENSE NUMBER

4.1 IS THE NEAREST ENTRANCE OF THE PLACE TO BE LICENSED WITHIN 200 FEET OF THE NEAREST ENTRANCE OF ANY CHURCH OR SCHOOL? ☐ Yes ☐ No

IF ANSWER IS "YES", IS A WAIVER SIGNED BY THE APPROPRIATE OFFICIAL ATTACHED TO THIS APPLICATION? ☐ Yes ☐ No

4.2 DOES THE APPLICANT INTEND TO USE ANY VEHICLES FOR TRANSPORT OR DELIVERY OF ALCOHOLIC BEVERAGES? ☐ Yes ☐ No (TRANSIT INSIGNIA IS NECESSARY BEFORE BEVERAGES MAY BE TRANSPORTED.)

4.3 HAS THE APPLICANT FILED AN ANNUAL SPECIAL TAX REGISTRATION AND RETURN FORM (ATF F 5630.5) WITH THE BUREAU OF ALCOHOL, TOBACCO AND FIREARMS? ☐ Yes ☐ No

IF "YES", DATE FILED ___ / ___ / ___

4.4 WILL ANY BUSINESS OTHER THAN THE SALE OF ALCOHOLIC BEVERAGES BE CONDUCTED ON THE PREMISES TO BE LICENSED? ☐ Yes ☐ No

IF THE ANSWER IS "YES", INDICATE THE NATURE OF THE BUSINESS AND WHO WILL CONDUCT IT BY RESPONDING TO THE FOLLOWING QUESTIONS:

☐ Restaurant ☐ Applicant ☐ Other
☐ Catering ☐ Applicant ☐ Other
☐ Hotel/Motel ☐ Applicant ☐ Other
☐ Amusements ☐ Applicant ☐ Other
☐ N.J. Lottery ☐ Applicant ☐ Other
☐ Grocery or Delicatessen ☐ Applicant ☐ Other
☐ Other (specify) ☐ Applicant ☐ Other

4.5 IF SOMEONE OTHER THAN THE APPLICANT WILL OPERATE THE OTHER BUSINESS ON THE LICENSED PREMISES, ANSWER THIS QUESTION. IF THERE IS MORE THAN ONE INDIVIDUAL OR COMPANY, ATTACH A SEPARATE PAGE LISTING THE REQUESTED INFORMATION FOR EACH OPERATOR.

Business to be operated ____________________________________________

Name of company/individual ________________________________________
(Last Name, First Name or Corporate Name)

Street Address ____________________________________________________
Number Street Name

Municipality ______________________________________________________
State

Zip _____ - _____ NJ Sales Tax Certificate of Authority No. ____________________________
5.1 IS THE APPLICANT OR ANY OTHER PERSON MENTIONED IN THIS APPLICATION A POLICE OFFICER OR HOLD ANY POSITION ENTRUSTED WITH THE ENFORCEMENT OF ANY LAWS CONCERNING ALCOHOLIC BEVERAGES IN ANY MANNER WHATSOEVER?

_____ Yes _____ No

If the answer is "Yes", complete the following:

Name of individual ________________________________

Last Name First Middle Initial

Title of position held ________________________________

Name of Employing Agency __________________________

5.2 DOES THE APPLICANT OR ANY OTHER PERSON MENTIONED IN THIS APPLICATION, OR ANY PERSON HAVING A BENEFICIAL INTEREST IN THE LICENSED BUSINESS HOLD OFFICE IN THE UNIT OF GOVERNMENT ISSUING THE LICENSE?

_____ Yes _____ No

IF THE ANSWER IS "YES", COMPLETE THE FOLLOWING:

Name of individual ________________________________

Last Name First Middle Initial

Title of Office ______________________________________

Municipality ____________________________

5.3 DOES THE APPLICANT OR ANY OTHER PERSON MENTIONED IN THIS LICENSE APPLICATION, OR ANYONE WITH A BENEFICIAL INTEREST IN THE LICENSED BUSINESS, DIRECTLY OR INDIRECTLY, HAVE ANY INTEREST IN ANY BREWERY, WINERY, DISTILLERY, RECTIFYING AND BLENDING PLANT, IMPORTER OR WHOLESALE ALCOHOLIC BEVERAGE BUSINESS, AS OWNER, PART OWNER, LANDLORD, TENANT, MORTGAGE HOLDER, OR AS A STOCKHOLDER, OFFICER, DIRECTOR, AGENT, EMPLOYEE, OR OTHERWISE?

_____ Yes _____ No

IF THE ANSWER IS "YES" ATTACH AN AFFIDAVIT EXPLAINING THE RELATIONSHIP AND NATURE OF THE INTEREST AND COMPLETE THE FOLLOWING:

A. New Jersey license number, if applicable ____________

B. IF THE BUSINESS DOES NOT HOLD A NEW JERSEY LIQUOR LICENSE, ANSWER THE FOLLOWING QUESTIONS:

Name of entity conducting business (Corporation, Partnership or Individual) ____________

Street Address ________________________________

(Part Name, First Name, Middle Initial or Corporate Name)

P.O. Box # ________ Municipality __________________________

Street Name ____________________________ State ________

Zip ________

Type of Business __________________________
6.1 HAS THE APPLICANT EVER BEEN DENIED A LIQUOR LICENSE IN NEW JERSEY? 

- Yes  No

IF THE ANSWER TO THIS QUESTION IS "YES", ANSWER THE FOLLOWING:
Type of License or Permit Denied: Retail  Wholesale  Transportation

Warehouse  Manufacturer

Unit of Government which denied License or Permit:

Date of Denial (approximate if not known) / / 

Reason for Denial:

6.2 HAS ANY CORPORATION, PARTNERSHIP OR INDIVIDUAL MENTIONED IN THIS APPLICATION, OTHER THAN THE APPLICANT, BEEN DENIED A LIQUOR LICENSE OR PERMIT? 

- Yes  No

IF THE ANSWER IS "YES", ANSWER THE FOLLOWING:
Name of Entity:
First  Middle Initial
Last Name

Type of License or Permit Denied: Retail  Wholesale  Transportation
Warehouse  Manufacturer

Unit of Government which denied License or Permit:

Date of Denial (approximate if not known) / / 

Reason for Denial:

6.3 HAS THE APPLICANT OR ANY OTHER PERSON, CORPORATION OR ENTITY MENTIONED IN THIS LICENSE APPLICATION, OR ANYONE WITH A BENEFICIAL INTEREST IN IT, HAD AN INTEREST IN A NEW JERSEY ALCOHOLIC BEVERAGE LICENSE WHICH WAS SURRENDERED, SUSPENDED OR HAD A PENALTY IMPOSED IN LIEU OF SUSPENSION, NOT RENEWED, REVOKED OR CANCELLED WITHIN THE 10 YEARS PRIOR TO THE DATE OF THIS APPLICATION? 

- Yes  No

IF THE ANSWER IS "YES" PROVIDE DETAILS OF EACH BELOW [Complete a separate page 6 for each action]:
Name of individual:
Last Name
First  Middle Initial

DATE OF ACTION / / DOCKET NO.

PENALTY WAS IMPOSED BY:

[Indicate whether by Div. ABC or identify Local Issuing Authority]

PENALTY CONSISTED OF:

FINED $  NOT RENEWED 

SUSPENDED [amount]  REVOKED  CANCELLED

OTHER [explain]

6.4 HAS THE APPLICANT OR ANY OTHER PERSON OR CORPORATION MENTIONED IN THIS LICENSE APPLICATION, OR ANYONE WITH A BENEFICIAL INTEREST IN THE BUSINESS UNDER LICENSE OR TO BE LICENSED EVER BEEN CONVICTED OF A CRIMINAL OFFENSE? 

- Yes  No

A. IF THE ANSWER IS "YES", ANSWER THE FOLLOWING:
Name of individual:
Last Name
First  Middle Initial

Date of birth / / State

Court of jurisdiction

Description of offense (specific charge)

Disposition (fine, penalty, etc.)

Nature of interest in entity to be licensed

B. IF applicable, provide the date the Director of N.J. Division of Alcoholic Beverage Control: issued an order approving or disapproving disqualification removal: / / (No license may be issued without an order from the Director, Division of Alcoholic Beverage Control determining no disqualification or removing disqualification. See R.S. 33:1-31.2 & N.J.A.C. 13:2-15).

Provide Agency Docket No. [NN].
STATE ASSIGNED LICENSE NUMBER ——— ——— ——— ———

ALL APPLICANTS OTHER THAN CLUB LICENSE ANSWER THE FOLLOWING

7.1 DOES THE APPLICANT, A MEMBER OF THE APPLICANT’S IMMEDIATE FAMILY (SPOUSE, CHILDREN, PARENTS, IN-LAWS OR SIBLINGS), OR ANY PERSON WITH A BENEFICIAL INTEREST IN THE SUBJECT LICENSE OF THIS APPLICATION, HAVE ANY INTEREST IN ANY OTHER NEW JERSEY ALCOHOLIC BEVERAGE LICENSE?

_____ Yes  _____ No

IF THE ANSWER IS “YES”, COMPLETE THE FOLLOWING BY LISTING THE NEW JERSEY LIQUOR LICENSE TWELVE DIGIT NUMBER(S), AND THE NAME(S) OF THE PERSON(S) OR CORPORATION(S) WHO HOLD(S) SUCH INTEREST. USE ADDITIONAL PAGE(S) 7 AS NEEDED.

A. License number ——— ——— ——— ———

Name

(Last Name, First, Middle Initial or Corporate Name)

Relationship to applicant

B. License number ——— ——— ——— ———

Name

(Last Name, First, Middle Initial or Corporate Name)

Relationship to applicant

C. License number ——— ——— ——— ———

Name

(Last Name, First, Middle Initial or Corporate Name)

Relationship to applicant

7.2 WOULD ANY PERSON OR CORPORATION NAMED IN THIS APPLICATION FAIL TO QUALIFY FOR OWNERSHIP OF THE LICENSE IF APPLYING AS AN INDIVIDUAL, BECAUSE OF AGE, CRIMINAL CONVICTION, OR PROHIBITED INTERESTS IN OTHER LICENSES?

_____ Yes  _____ No

IF THE ANSWER IS “YES”, ANSWER THE FOLLOWING BY INSERTING THE NAME OF THE INDIVIDUAL OR CORPORATION, THE SOCIAL SECURITY NUMBER AND DATE OF BIRTH IF AN INDIVIDUAL. USE ADDITIONAL PAGE(S) 7 AS NEEDED.

Name

(Last Name, First, Middle Initial or Corporate Name)

Social Security number ——— ——— ——— or

NJ Sales Tax Certificate of Authority No.

Date of birth ——— / ——— / ———
PLEASE TYPE OR PRINT ALL INFORMATION

STATE ASSIGNED LICENSE NUMBER ——- ——- ——- ——-

ALL APPLICANTS ANSWER THE FOLLOWING

8.1 DOES THE APPLICANT OR ANYONE MENTIONED IN THIS APPLICATION OWE THE STATE OF NEW JERSEY OR THE UNITED STATES ANY LICENSE FEE, PENALTY, INTEREST OR ALCOHOLIC BEVERAGE TAX, WHICH HAS ACCRUED PURSUANT TO THE ALCOHOLIC BEVERAGE TAX LAW, THE ALCOHOLIC BEVERAGE LAW, OR ANY OTHER NEW JERSEY OR FEDERAL LAW?
   Yes  No

8.2 HAS THE LICENSE BEEN ISSUED, OR IS IT BEING REQUESTED TO BE ISSUED FOR A HOTEL/MOTEL, AS AN EXCEPTION TO THE POPULATION RESTRICTION UNDER THE PROVISIONS OF R.S. 33:1-12.20?  Yes  No

   IF THE ANSWER IS "YES" IS IT FOR A HOTEL/MOTEL FACILITY OF 50 OR 100 ROOMS?
   CHECK ONE:  ———  50 ROOMS   ———  100 ROOMS

8.3 HAS THE LICENSE BEEN ISSUED, OR IS IT BEING REQUESTED TO BE ISSUED AS AN EXCEPTION TO THE TWO LICENSE LIMITATION LAW (R.S. 33:1-12.32) FOR A HOTEL/MOTEL, RESTAURANT, BOWLING ALLEY OR INTERNATIONAL AIRPORT?  Yes  No

   IF THE ANSWER IS "YES", CHECK ONE OF THE FOLLOWING:  ———  HOTEL/MOTEL
   ———  RESTAURANT   ———  BOWLING ALLEY   ———  INTERNATIONAL AIRPORT

THE FOLLOWING ARE TO BE ANSWERED WHEN APPLICATION IS FOR A LICENSE TRANSFER.

8.4 LICENSE NUMBER SOUGHT TO BE TRANSFERRED ———- ———- ———- ———-

8.5 IF THIS IS A REQUEST FOR A PERSON TO PERSON TRANSFER, INSERT NAME(S) OF PERSON (Last Name First), PARTNERSHIP OR CORPORATION CURRENTLY HOLDING THE LICENSE:

   (Last Name, First Name, Middle Initial or Corporate Name)

8.6 IF THIS IS A REQUEST FOR A PLACE TO PLACE TRANSFER OF A POCKET LICENSE (NO SITED PREMISES) MARK AN X HERE:  ———

   IF THIS IS A REQUEST FOR A PLACE TO PLACE TRANSFER OF A SITED LICENSE, INSERT THE ADDRESS OF THE CURRENT SITE FROM WHICH THE LICENSE IS TO BE TRANSFERRED.

   Street Address ———- ———- ———- ———-
   Municipality ———- ———- ———- ———-
   Number ———- ———- ———- ———-
   Street Name ———- ———- ———- ———-
   Zip ———- ———- ———- ———-

THE FOLLOWING ARE TO BE ANSWERED BY APPLICANTS FOR A NEW LICENSE OR A LICENSE TRANSFER.

8.7 INSERT THE ANTICIPATED DATES WHEN PUBLIC NOTICE OF APPLICATION WILL BE PUBLISHED. PUBLICATION MAY NOT BE SOONER THAN THE DATE OF FILING OF THIS APPLICATION.

   Date of first notice ———- / ———- / ———-
   Date of second notice ———- / ———- / ———-

8.8 NAME OF NEWSPAPER TO PUBLISH NOTICE ———- ———- ———- ———-

8.9 THE FOLLOWING ARE TO BE ANSWERED BY CORPORATIONS REPORTING A CHANGE OF CORPORATE STRUCTURE WHEREIN A NEW STOCKHOLDER ACQUIRES MORE THAN 1 PER CENT OF THE STOCK OF THE LICENSED COMPANY (ONE PUBLICATION OF NOTICE REQUIRED).

   Date of notice ———- / ———- / ———-
   Name of newspaper publishing notice ———- ———- ———- ———-

THE FOLLOWING QUESTIONS ARE FOR CLUB LICENSE APPLICANTS ONLY:

8.10 HAS THE CLUB BEEN IN ACTIVE OPERATION IN THE STATE OF NEW JERSEY FOR AT LEAST THREE YEARS CONTINUOUSLY IMMEDIATELY PRIOR TO THE SUBMISSION OF ITS APPLICATION FOR A LICENSE?
   Yes  No

8.11 IS THE APPLICANT A CONSTITUENT UNIT, CHARTERED OR OTHERWISE DUTY ENFRANCHISED CHAPTER OR MEMBER CLUB OF A NATIONAL OR STATE ORDER?
   Yes  No

8.12 HAS THE CLUB HAD EXCLUSIVE POSSESSION AND USE OF CLUB QUARTERS FOR THREE CONTINUOUS YEARS?
   Yes  No

8.13 DOES THE CLUB HAVE AT LEAST 60 VOTING MEMBERS?
   Yes  No
PLEASE TYPE OR PRINT ALL INFORMATION

STATE ASSIGNED LICENSE NUMBER _______ • _______ • _______

ALL APPLICANTS ANSWER THE FOLLOWING

9.1 DOES ANY INDIVIDUAL, PARTNERSHIP, CORPORATION, OR ASSOCIATION OTHER THAN THE APPLICANT HAVE AN INTEREST DIRECTLY OR INDIRECTLY IN THE LICENSE APPLIED FOR OR IS THE STOCK OF ANY STOCKHOLDER HELD IN ESCROW OR PLEDGED IN ANY WAY?

Yes _____ No _____

IF THE ANSWER IS "YES", ANSWER THE FOLLOWING, USING A SEPARATE PAGE 9 FOR EACH INDIVIDUAL OR CORPORATION OF INTEREST. ATTACH A SEPARATE PAGE OF EXPLANATION IF MORE SPACE IS NEEDED.

Name of Individual (Last Name First) or Corporation ____________________________

(Last Name, First Name, Middle Initial or Corporate Name)

Social Security number _______ • _______ • _______ or

NJ Sales Tax Certificate of Authority Number ____________

Street Address ____________________________

Number ____________________________

Municipality ____________________________

State ____________________________

Zip _______ • _______

Describe Nature of Interest ____________________________

9.2 DOES ANY INDIVIDUAL, PARTNERSHIP, CORPORATION, OR ASSOCIATION HOLD ANY CHATTEL MORTGAGE OR CONDITIONAL BILL OF SALE OR OTHER SECURITY INTEREST ON ANY FURNITURE, FIXTURES, GOODS OR EQUIPMENT TO BE USED IN CONNECTION WITH THE BUSINESS TO BE OPERATED UNDER THE LICENSE APPLIED FOR?

Yes _____ No _____

IF THE ANSWER IS "YES", ANSWER THE FOLLOWING USING A SEPARATE PAGE 9 FOR EACH INDIVIDUAL OR CORPORATION TO BE REPORTED. ATTACH A SEPARATE PAGE OF EXPLANATION IF MORE SPACE IS NEEDED.

Name of Individual (Last Name First) or Corporation ____________________________

(Last Name, First Name, Middle Initial or Corporate Name)

Social Security number _______ • _______ • _______ or

NJ Sales Tax Certificate of Authority Number ____________

Street Address ____________________________

Number ____________________________

Municipality ____________________________

State ____________________________

Zip _______ • _______

Describe Nature of Interest ____________________________

9.3 HAS THE APPLICANT AGREED TO PERMIT ANYONE NOT HAVING AN OWNERSHIP INTEREST IN THE LICENSE TO RECEIVE OR AGREE TO PAY ANYONE (BY WAY OF RENT, SALARY, OR OTHERWISE) ALL OR ANY PERCENTAGE OF THE GROSS RECEIPTS OR NET PROFIT OR INCOME DERIVED FROM THE BUSINESS TO BE CONDUCTED UNDER THE LICENSE APPLIED FOR?

Yes _____ No _____

IF THE ANSWER IS "YES", ANSWER THE FOLLOWING USING A SEPARATE PAGE 9 FOR EACH INDIVIDUAL OR CORPORATION TO BE REPORTED. ATTACH A SEPARATE PAGE OF EXPLANATION IF MORE SPACE IS NEEDED.

Name of Individual (Last Name First) or Corporation ____________________________

(Last Name, First Name, Middle Initial or Corporate Name)

Social Security number _______ • _______ • _______ or

NJ Sales Tax Certificate of Authority Number ____________

Street Address ____________________________

Number ____________________________

Municipality ____________________________

State ____________________________

Zip _______ • _______

Describe Nature of Interest ____________________________

APPLICANTS THAT ARE SOLE PROPRIETORS OR PARTNERSHIPS GO TO PAGE 10A. CORPORATIONS COMPLETE PAGE 10.
STATE ASSIGNED LICENSE NUMBER

QUESTIONS TO BE ANSWERED BY CORPORATIONS ONLY. ANY CORPORATION THAT IS REPORTED TO HAVE AN INTEREST IN THE BUSINESS TO-BE LICENSED, WHETHER THE LICENSEE COMPANY, THE PARENT CORPORATION OF THE LICENSED COMPANY, HOLDING COMPANY, OR OTHERWISE AFFILIATED IN THE CORPORATE CHAIN MUST ANSWER THE FOLLOWING USING SEPARATE PAGE 10 AND 10A FOR EACH CORPORATION. ANSWER QUESTIONS ON BOTH PAGE 10 AND 10A FOR EACH CORPORATION.

10.1 Name of corporation

10.2 Street address of home office
   Municipality ____________________________
   Number ____________________________
   Street Name ____________________________
   State: ___________ Zip: ___________

10.3 NJ Sales Tax Certificate of Authority Number _______________________________________

10.4 IF CORPORATION ADDRESS IN NUMBER 10.2 ABOVE IS OUT OF STATE, REPORT BELOW THE ADDRESS OF ANY OFFICE LOCATION IN NEW JERSEY. INSERT N/A IF NONE.
   Street Address ____________________________
   Number ____________________________
   Street Name ____________________________
   Municipality ____________________________ New Jersey
   Zip: ___________

10.5 IS THE CORPORATION NOW AN EXISTING, VALID CORPORATION? Yes No

10.6 DATE CHARTERED OR INCORPORATED ___/___/______ STATE ______

10.7 CERTIFICATE OF INCORPORATION NUMBER _______________________________________

10.8 IF NOT INCORPORATED UNDER THE LAWS OF NEW JERSEY, HAS THE CORPORATION RECEIVED AN AUTHORIZATION TO CONDUCT BUSINESS IN NEW JERSEY FROM THE NEW JERSEY OFFICE OF THE SECRETARY OF STATE?
   Yes No

10.9 HAS THE CORPORATION CHARTER EVER BEEN REVOKED BY THE OFFICE OF THE SECRETARY OF STATE IN NEW JERSEY?
   Yes No

IF THE ANSWER IS "YES", INSERT THE DATE OF REVOCATION, OR IF SUSPENDED, THE BEGINNING AND ENDING DATE OF THE SUSPENSION:
   Date of revocation ___/___/______
   Beginning date ___/___/______
   Ending date ___/___/______

10.10 INSERT THE NAME AND ADDRESS OF REGISTERED OR AUTHORIZED AGENT IN NEW JERSEY UPON WHOM SERVICE OF PROCESS IN ANY PROCEEDINGS AGAINST THE APPLICANT, PURSUANT TO THE NEW JERSEY ALCOHOLIC BEVERAGE LAW, THE ALCOHOLIC BEVERAGE TAX LAW, OR PROCEEDINGS IN A STATE OR U.S. DISTRICT COURT, MAY BE MADE:
   Name ____________________________
   (Last Name, First Name, Middle Initial or Corporation)
   Street Address ____________________________
   Number ____________________________
   Street Name ____________________________
   Municipality ____________________________ New Jersey
   Zip: ___________ Telephone Number (______) ___________ Area Exchange Number ___________

10.11 IF THE LICENSED COMPANY IS OWNED BY OTHER CORPORATION(S) OR IN A CORPORATE CHAIN, ATTACH A DIAGRAM DEPICTING THE CORPORATE RELATIONSHIPS AND THE PERCENTAGE OF STOCK INTEREST, IN THE COMPANY TO BE LICENSED, OWNED BY OTHER CORPORATIONS OR OTHER NON-CORPORATE ENTITIES (INDIVIDUALS, PARTNERSHIPS, ASSOCIATIONS).
PLEASE TYPE OR PRINT ALL INFORMATION

STATE ASSIGNED LICENSE NUMBER __________

ALL APPLICANTS ANSWER THE FOLLOWING [ADD PAGES AS NECESSARY]

SOLE OWNERS AND PARTNERSHIPS Complete the page in full.

LIMITED PARTNERSHIP: All information about a general partner or partners of a limited partnership must be reported, whether the general partner is an individual or a corporation. A list of the names and addresses of all limited partners must be submitted as an attachment to this application with an identification of the percentage of each limited partner as it relates to total ownership of the business entity to be licensed.

CORPORATIONS: All corporation applicants or licensees and any corporation that has an ownership interest in the corporation under license or to be licensed must have been reported on page 10. Information on this page, 10A, will identify all officers, directors, and stockholders holding one percent or more of the shares of the respective company. Club licenses must list names of officers and directors and attach a current membership list.

****************************************************

NAME OF CORPORATION OR CLUB COVERED BY THIS PAGE (COMPLETE ONLY IF APPLICANT OR STOCKHOLDER IS A CORPORATION OR PARTNERSHIP).

Name of individual (last name first), stockholder, partner, officer or director:

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First</th>
<th>Middle Initial</th>
</tr>
</thead>
<tbody>
<tr>
<td>Home Street Address</td>
<td>Number</td>
<td>Municipality</td>
</tr>
<tr>
<td>P.O. Box #</td>
<td>Municipality</td>
<td>Street Name</td>
</tr>
<tr>
<td>Zip</td>
<td>Municipality</td>
<td>Street Name</td>
</tr>
<tr>
<td>Social Security number</td>
<td>Municipality</td>
<td>Street Name</td>
</tr>
<tr>
<td>Date of birth</td>
<td>Municipality</td>
<td>Street Name</td>
</tr>
<tr>
<td>Home telephone number</td>
<td>Area</td>
<td>Exchange</td>
</tr>
<tr>
<td>Office telephone number</td>
<td>Area</td>
<td>Exchange</td>
</tr>
<tr>
<td>% of business owned or controlled</td>
<td>Area</td>
<td>Exchange</td>
</tr>
<tr>
<td>Number of shares</td>
<td>Area</td>
<td>Exchange</td>
</tr>
</tbody>
</table>

Check position that applies:        Sole owner        Partner        Stockholder

President  Vice-President  Secretary  Treasurer  Director

Trustee  Manager  Agent  Executor/Administrator  Receiver

Beneficiary Other (specify)

Name of individual (last name first):

<table>
<thead>
<tr>
<th>Last Name</th>
<th>First</th>
<th>Middle Initial</th>
</tr>
</thead>
<tbody>
<tr>
<td>Home Street Address</td>
<td>Number</td>
<td>Municipality</td>
</tr>
<tr>
<td>P.O. Box #</td>
<td>Municipality</td>
<td>Street Name</td>
</tr>
<tr>
<td>Zip</td>
<td>Municipality</td>
<td>Street Name</td>
</tr>
<tr>
<td>Social Security number</td>
<td>Municipality</td>
<td>Street Name</td>
</tr>
<tr>
<td>Date of birth</td>
<td>Municipality</td>
<td>Street Name</td>
</tr>
<tr>
<td>Home telephone number</td>
<td>Area</td>
<td>Exchange</td>
</tr>
<tr>
<td>Office telephone number</td>
<td>Area</td>
<td>Exchange</td>
</tr>
<tr>
<td>% of business owned or controlled</td>
<td>Area</td>
<td>Exchange</td>
</tr>
<tr>
<td>Number of shares</td>
<td>Area</td>
<td>Exchange</td>
</tr>
</tbody>
</table>

Check position that applies:        Sole owner        Partner        Stockholder

President  Vice-President  Secretary  Treasurer  Director

Trustee  Manager  Agent  Executor/Administrator  Receiver

Beneficiary Other (specify)
STATE ASSIGNED LICENSE NUMBER

LICENSE PERIOD
APPLIED FOR
FROM TO DATE:

State of County of

As provided by law (R.S. 33:1-35),

(Check One)

1. The Individual Applicant

2. Members of the Partnership Applicant

3. of

(President/Vice-President)

(Corporation or Club Name)

consent(s) that the licensed premises and all portions of the building constituting the licensed premises, including all rooms, cellars, closets, out-buildings, passageways, vaults, yards, attics, and every part of the structure of which the licensed premises are a part and all buildings used in connection therewith which are in his/her/their possession or under his/her/their control, may be inspected and searched without warrant at all hours by the Director of the Division of Alcoholic Beverage Control, his or her duly authorized deputies, inspectors, or investigators and all other sworn law enforcement officers, and being duly sworn according to law, upon his/her oath(s), depose(s) and say(s) that he/she is (they are) the person(s) duly authorized to sign the application, that in instance of corporate ownership, the signator is authorized by corporate resolution to sign on behalf of the corporations; and that the contents of this application represent complete disclosure of fact, and that the contents of this application are true.

(Signature of individual Applicant / sole proprietor)

(Corporations Only)
Attestation by Corporate Secretary

(Partnership Name)

(Signature of Partner)

Attest:

Corporate Name

(Signature of Partner)

By

(Signature of Corporate President or Vice President)

(Signature of Partner)

Affix Corporate Seal

Sworn to and subscribed before me

this day of 19

AFFIDAVIT MUST BE SIGNED HERE

BY DULY AUTHORIZED NOTARY PUBLIC
OR AN ATTORNEY AT LAW OF NEW JERSEY

(Signature of Officer Administering Oath)

(Printed Name of Officer Administering Oath)

(Date of Expiration of Commission, if applicable)
SAMPLE ADVERTISEMENT FOR

CHANGE IN CORPORATE STRUCTURE

NOTICE

Take notice that on _____________________ a change occurred in the stockholdings of

________________________________ trading as ______________________________ holder of

________________________________ for premises located at ______________________________

Resulting in the following persons, residing at their following respective addresses, each acquiring
in the aggregate more than 10% of said corporate licensee’s stock:

<table>
<thead>
<tr>
<th>Name</th>
<th>Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>___________________________</td>
<td>_______________________________</td>
</tr>
<tr>
<td>___________________________</td>
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<tr>
<td>___________________________</td>
<td>_______________________________</td>
</tr>
<tr>
<td>___________________________</td>
<td>_______________________________</td>
</tr>
</tbody>
</table>

Any information or objections concerning the qualifications of any of the above current
stockholders should be communicated immediately in writing to: Kathy L. Schmelz, RMC, City Clerk
of the City of Long Branch, 344 Broadway, Long Branch, NJ 07740

(PUBLISH ONCE IN NEWSPAPER)